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OGC Engineering Report

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Chapter 1. Summary

The Testbed-13 EOC effort supports the development of ESA’s Thematic Exploitation Platforms (TEP) by exercising envisioned workflows for data integration and processing that are deployed in multiple clouds. The Application Deployment & Execution Service OGC Engineering Report (ER) identifies the API(s) that supports all functionality provided to realize the testbed scenario.

This ER will list the requirements fulfilled by Cloud APIs in order to allow an automation of the application package deployment and execution workflow and capture implementation process experiences.

1.1. Requirements

This ER will document the automation of the application package deployment taking in consideration an application package defining:

- Identify the Application to which it refers
- Describe the required execution environment for the application
- Identify how to deploy and launch the application
- Describe the input data collections
- Describe additional input parameters

1.2. Key Findings and Prior-After Comparison

The serialization and deployment of applications behind an OGC WPS service was subject of several discussions at OGC SWGs and DWGs in the past. A diverse number of issues were identified but the main barriers were due to the diversity of runtime environment to support and the respective technology maturity hampered its feasibility.

The advance in container technology together with the concept of federation of Clouds presents new opportunities to address this issue. Previously the constraints imposed in describing the runtime environments (e.g. Linux, Java VM, Perl, Python) and the respective application installation would create a considerable amount of information needed for the application package information model outside the OGC aegis. With containers most of these requirements are addressed at their respective level with configuration and software elements packaged into isolated elements.

This ER will capture implementation process experiences for EP Application Packages and their deployment in multiple clouds.
1.3. What does this ER mean for the Working Group and OGC in general

This is a write up for why this ER should be important to the working group and OGC. This paragraph provides recommendations on how to further proceed with the achievements documented in this ER.

1.4. Document contributor contact points

All questions regarding this document should be directed to the editor or the contributors:

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<thead>
<tr>
<th>Name</th>
<th>Organization</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pedro Gonçalves</td>
<td>Terradue</td>
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<td>Peter Vretanos</td>
<td>CubeWerx</td>
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<td>Patrick Jacques</td>
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<tr>
<td>contributor from org</td>
<td></td>
</tr>
</tbody>
</table>

*Table 1. Contacts*

1.5. Future Work

i.e. "No future work is planned to this document." or "It is expected that this document may result in changes in other documents."

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Chapter 2. References

The following normative documents are referenced in this document.

NOTE: Only normative standards are referenced here, e.g. OGC, ISO or other SDO standards. All other references are listed in the bibliography. Example:

• OGC 06-121r9, OGC® Web Services Common Standard [https://portal.opengeospatial.org/files/?artifact_id=38867&version=2]

• OGC 12-084r2, OGC® OGC Context Atom Encoding Standard [https://portal.opengeospatial.org/files/?artifact_id=55183]

• OGC 14-065, OGC® WPS 2.0 Interface Standard [http://docs.opengeospatial.org/is/14-065/14-065.html]

• IANA, Link Relations registry [https://www.iana.org/assignments/link-relations/link-relations.xhtml]
Chapter 3. Terms and definitions

For the purposes of this report, the definitions specified in Clause 4 of the OWS Common Implementation Standard OGC 06-121r9 [https://portal.opengeospatial.org/files/?artifact_id=38867&version=2] shall apply. In addition, the following terms and definitions apply.

3.1. Area Of Interest

An Area of Interest is a geographic area that is significant to a user.

3.2. Context Document

A Context Document is a document describing the set of services and their configuration, and ancillary information (area of interest etc) that defines the information representation of a common operating picture.

3.3. Dockerfile

A text document that contains all the commands a user could call on the command line to assemble an image.

3.4. Container

A lightweight and configurable virtual machine with a simulated version of an operating system and its hardware, which allow software developers to share their computational environments.

3.5. Infrastructure as a Service (IaaS)

A standardized, highly automated offering, where compute resources, complemented by storage and networking capabilities are owned and hosted by a service provider and offered to customers on-demand. Customers are typically able to self-provision this infrastructure, using a Web-based graphical user interface that serves as an IT operations management console for the overall environment. Application Programming Interface (API) access to the infrastructure may also be offered as an option.

3.6. Resource

A resource is a configured set of information that is uniquely identifiable to a user. Can be realized as in-line content or by one or more configured web services.
3.7. Virtual Machine Image

A virtual machine image is a template for creating new instances. A image can be plain operating systems or can have software installed on them, such as databases, application servers, or other applications.

3.8. Thematic Exploitation Platform (TEP)

A "Thematic Exploitation Platform" refers to an environment providing a user community interested in a common Theme with a set of services such as very fast access to (i) large volume of data (EO/non-space data), (ii) computing resources (iii) processing software (e.g. toolboxes, RTMs, retrieval baselines, visualization routines), and (iv) general platform capabilities (e.g. user management and access control, accounting, information portal, collaborative tools, social networks etc.). The platforms thus provide a complete work environment for its' users, enabling them to effectively perform data-intensive research and data exploitation by running dedicated processing software close to the data.
Chapter 4. Abbreviated terms

- ADES Application Deployment and Execution Service
- AMC Application Management Client
- API Application Program Interface
- EOC Earth Observation Clouds
- IdP Identity Provider
- JSF JavaServer Faces
- PEP Policy Enforcement Point
- SAML Security Assertion Markup Language
- STS Security Token Service
- TEP Thematic Exploitation Platform
- WPS Web Processing Service
This ER reports the implementation experiments for the deployment of applications built in the context of the European Space Agency (ESA) initiative to build an ecosystem of Thematic Exploitation Platforms (TEP). A TEP refers to a computing platform that follows a given set of scenarios for users, data and ICT provision aggregated around an Earth Science thematic area. The TEP defines three canonical user scenarios covering the EO data exploitation (users discover and manipulate data), new EO service development (users deploy a new processor) and new EO product development (users publish new results). The TEPs are implementing these canonical scenarios and moving the processing to the data, rather than the data to the users, thereby enabling ultra-fast data access and processing.

Algorithms are initially developed by a third party developer and subsequently deployed in a TEP. An application package document that contains all the information necessary to allow applications (implementing said algorithms) to be specified and deployed in federated resource providers is one of the main outcomes of this effort.

The application package encoding conveys all the information needed for encapsulating user applications and enabling their automatic management (upload, deploy, run) in diverse platforms. The consensus reached in the Testbed-13 EOC thread was to use the OWS Context document to convey the information model. This document is used as input parameter to a dedicated WPS service that will create and deploy it in a new WPS instance. An alternative approach is also proposed that uses the WPS description document to directly embed the OWS Context Document in an existing WPS Transactional Service.

This ER focuses on both technical approaches, with two sections that describe the different applications and their respective deployment and execution processes. Both approaches follow a common scenario described below.

5.1. Scenario

The application package will allow the developer (e.g. Markus) to register an application that will be deployed in a given ICT provider. The application is first built and registered in a third-party Application Hub (e.g. DockerHub). The developer will then directly interact with the Application Management Client (AMC) that will register the application on the Application Deployment and Execution Service (ADES).

The sequence of actions is described in the following image.
With the application registered, a user (e.g. Jim) can directly interact with the Application Management Client (AMC) that will allow the execution of the application on the Application Deployment and Execution Service (ADES).

The sequence of actions for the execution is described in the following image.
The overall components and respective flow is presented below.

**Figure 2. Sequence of Execution Steps**

**Figure 3. Overview of Deploy, Register and Execution Scenario**
Chapter 6. Implementation

6.1. Overview

The Application Package targets applications built by third party developers and contains all the information necessary for their deployment in different infrastructures. It uses an ATOM OWS Context document as the document encoding and WPS as the execution interface. This chapter will address several implementation issues discussed by the EOC thread with particular focus on the approaches to application packaging, application execution and data access.

6.2. Application Package

The application package encoding conveys all the information needed for encapsulating user applications and enabling their automatic management (upload, deploy, run) in diverse platforms. It uses the OWS Context document encoding to convey the necessary information model as input parameter to a dedicated WPS service that creates and deploys it in a new WPS instance. The requirements and respective encoding are described on the other Testbed-13 EOC ER (OGC 17-023) [2] dedicated to reporting of the Application Package.

6.3. Data Fetching

The ADES provides a framework to deal with the data management tasks regarding the input file access and the registration of the output files. This is provided by two abstract functions that deal with the data staging and stage out that is executed by the application.

For data staging the convention is to map a file location from a URL (e.g. S3, FTP, Atom feed) to a local copy. The actual operation performed depends on the deployed infrastructure and data access mechanisms and it should be transparent for the application. It can consist of a simple string transformation based on previous knowledge about the data storage or to an actual data transfer mechanism that will provide the file data stream to the local processing.

```
staging https://iptpoland.pl/store/S1A_IW_RAW__05DV_20170720T091638_20170720T091710_01D5B8_1722.zip > /mounted/S1/S1A_IW_RAW__05DV_20170720T091638_20170720T091710_01D5B8_1722.zip
```

Equivalently, for the data stage out, the infrastructure will make available a specific convention. This operation will map a specific resulting file into the infrastructure publish
mechanism guaranteeing the file safeguard and the subsequent access by the WPS client.

---

6.3.1. Data Discovery

The application clients are expected to access EO catalogues using OpenSearch with Geo, Time and EO extensions that allows standardized and harmonized access to metadata of satellite Earth observation data. This provides the necessary information for discovery (i.e. search) and retrieval (i.e. access) of EO data in the different providers. A major issue detected was the need to register each collection multiple times for each data provider. As such, each collection needed to be identified not only by the type of data but also the origin of the storage. For example, Sentinel-2 in the IPT provider was identified in the FedEO catalogue explicitly as \texttt{EOP:IPT:Sentinel2}, whereas the same data in Amazon, was identified as \texttt{EOP:SENTINEL-HUB:Sentinel2}. This implies that the same collection needs to have two different OpenSearch endpoints. When directing the processing to the resources in IPT Poland it is thus necessary to also provide a specific OpenSearch Description as:

\begin{verbatim}
\end{verbatim}

While in processing in Amazon, the same collection is discovered using

\begin{verbatim}
\end{verbatim}

To overcome this issue, the thread analysed the possibility to define an optional OpenSearch parameter that would make the intended download location a query item of the search. This parameter defined in the EO extension with the template:

\begin{verbatim}
...&from={eop:accessedFrom}
\end{verbatim}

The parameter value would be string identifying the location from which the resource will be accessed. Catalogue services that support this extension would then be able to return the download location in the enclosure atom link according to the requested processing location access service or, if possible, the real data address. For example, when processing in IPT Poland, one would add the parameter:
And would obtain a file directly the corresponding access URL:

```
file:///eodata/Sentinel-2/MSI/L1C/2017/11/03/S2B_MSIL1C_20171102T235229_N0206_R130_T57KUQ_20171103T005600.SAFE
```

If processed in AMAZON, the parameter would have the value:

```
...&from=amazonws
```

and would obtain the value in the s3 format such as:

```
s3://sentinel-s2-l1c/tiles/23/M/QM/2017/11/13/0
```

When the client does not provide an indication of the intended access, catalogue should return their default location:

```
http://scihub.copernicus.eu/apihub/Products('0f1ed8d7-eb6e-51aa-a617-7f632e8df960')/$value
```

Catalogue services are also recommended to provide the list of suggested parameters values in the OpenSearch Description Document. As such, catalogues should return a Parameter element as the example below:

```
<param:Parameter name="from" value="{eop:accessedFrom?}" minimum="0"
title="A string identifying the location from where the download will be initiated. The response includes the best download location in the enclosure atom link (rel='enclosure') taking in consideration the value of the parameter." >
    <param:Option value="eocloud.eu" label="IPT Poland Cloud" />
    <param:Option value="amazonws" label="Amazon Cloud" />
    <param:Option value="PSNC" label="EVER-EST VRE" />
</param:Parameter>
```

Terradue did an experimental implementation of this issue and the findings will be
proposed as Change Request for the 13-026r8 Document to be discussed on the EO Product Metadata and OpenSearch SWG.

6.4. Application Parameters

The ADES provides a framework to deal with correct assignment of the application parameters. This is provided by one abstract function that provides the application a method for accessing the parameters values. For that the application uses a function for accessing the request parameters that from the command line would behave like:

```bash
$ getparam AreaOfInterest
> POLYGON(78427,321478321786, 391267841236 ..)
$ getparam inputcat
> https://catalog.terradue.com//sentinel1/search?format=atom&uid=S1A_IW_RAW__0SDV_20170720T091638_20170720T091710_017553_01D5B8_1722
```

An alternative solution using the environmental variable approach is also described in the next chapter.

6.5. Application Management Client

6.5.1. Solenix implementation

Introduction

This clause describes the implementation of the Solenix AMC.

The Solenix AMC provides two main GUIs, a Management GUI (e.g. for Marco) and a User GUI (e.g. for Jim).

The Management GUI implements three basic functionalities:

- **Browse Applications**: that Marco can use to get a list of applications previously registered in one of the available ADES implementations
- **Register New Application**: that Marco can use to upload an Application Package in OWS Context format for registration in one of the available ADES implementations
- **Unregister Application**: that Marco can use to unregister an application previously registered in one of the available ADES implementations

The User GUI instead implements the following functionality:
• Execution Listing: that Jim can use to list previously launched application executions, see their statuses and results

• Application Selection: that Jim can use to select one of the applications previously registered in one of the available ADES implementations. This triggers the dynamic and automated generation of user input fields

• Application Usage: highly dependent on the specific application, it allows Jim to provide all the inputs expected by the application, if necessary allowing him to perform catalogue searches in order to fill-in some of the inputs

Main GUI Screens

The Figure below shows the main screen of the Solenix AMC. From it, the user (Marco or Jim) can choose the Management (for Marco) or User GUI (for Jim):

![Main screen of Solenix AMC](image)

**Figure 4. Main screen of Solenix AMC**

Management GUI

Once on the Management GUI, Marco can choose to browse registered applications, register a new application or unregister an application:
By clicking on 'Browse Applications', Marco is able to select the specific ADES implementation and list the applications registered in it. This triggers a WPS GetCapabilities on the selected ADES:

By clicking on 'Register New Application', and after choosing the desired server (an implementation of the ADES exposing a WPS endpoint), Marco will be able to upload an Application Package - an instance of OWS Context according to the format agreed in OGC Testbed-13 (see Exploitation Platform Application Package Engineering Report [2]):

Once this is done, some summary information about the AP will be shown and Marco will
be able to confirm registration in the selected endpoint. This will trigger a WPS Execute operation on the DeployProcess process exposed by the selected ADES:

![Application Management Client (AMC) for OGC Testbed 13 - EOC Thread](image)

**Figure 8. Solenix AMC Management GUI: Register New Application, After AP upload**

**User GUI**

Coming back to the main screen and selecting the User GUI, Jim will see the following main screen, which allows choosing between a list of previous executions and issuing a new execution:

![Application Management Client (AMC) for OGC Testbed 13 - EOC Thread](image)

**Figure 9. Solenix AMC User GUI: Main screen**

The 'List Executions' page lists previously launched WPS Executions in any of the known ADES implementations:
The 'New Execution' page allows launching new WPS requests on one of the known ADES implementations and previously registered applications - input fields per application are dynamically discovered and shown:

![Application Management Client (AMC) for OGC Testbed 13 - EOC Thread](image)

**Figure 11. Solenix AMC User GUI: New Execution**

### 6.6. Application Deployment and Execution Service

#### 6.6.1. Introduction

This clause describes the two implementations of the ADES by CubeWerx and Terradue.

Both ADES implements the WPS standard (versions 1 & 2). The CubeWerx implementation
is part of CubeSERV which is a component of the CubeWerx Suite while the Terradue implementation is part of the Terradue Cloud Platform.

### 6.6.2. Deploy

When initially deployed, both ADES offers two processes, DeployProcess and UndeployProcess. These processes, in turn, allow application developers to then add additional processes, each described by an application package (see OGC 17-023).

These methods are designed to emulate the DeployProcess and UndeployProcess requests defined in the Transactional WPS Extension (see OGC 13-071r1). The primary benefits of taking this approach, as opposed to implementing OGC 13-071r1, are:

1. Any version of the WPS standard can deploy these methods
2. Existing WPS clients are able to interact with these methods as they do with any other WPS processes.

The following tables describe the parameters of the DeployProcess and UndeployProcess process offerings.

<table>
<thead>
<tr>
<th>Parameter Name</th>
<th>O/M</th>
<th>In/Out</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>applicationPackage</td>
<td>M</td>
<td>In</td>
<td>An ATOM-encoded OWS context document (see OGC 12-084r2) describing the application to be deployed</td>
</tr>
<tr>
<td>deployResult</td>
<td>M</td>
<td>Out</td>
<td>The format of the response to the operation</td>
</tr>
</tbody>
</table>

**Table 2. Deploy Process Parameters**

<table>
<thead>
<tr>
<th>Parameter Name</th>
<th>O/M</th>
<th>In/Out</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>processIdentifier</td>
<td>M</td>
<td>In</td>
<td>The identifier of the process to undeploy</td>
</tr>
<tr>
<td>undeployResult</td>
<td>M</td>
<td>Out</td>
<td>The format of the response to the operation</td>
</tr>
</tbody>
</table>

**Table 3. Undeploy Process Parameters**

**NOTE**  
Only processes added using the DeployProcess method may be undeployed.

**NOTE**  
The UndeployProcess implementation does not implement the keepExecutionUnit parameter from OGC 13-071.
At the moment the ADES uses the application identifier specified in the application package. This means that there is the possibility of duplicate identifiers. The CubeWerx server checks for this and throws an exception if the specified identifier is a duplicate, while the Terradue implementation replaces the application. An alternative approach could be for the server to ignore the process identifier specified in the application package and simply generate a new, unique identifier.

The following XML fragment is generated from both implementations WPS server and describe the DeployProcess and UndeployProcess processes:

```xml
<ProcessOffering processVersion="1.0.0">
  <Process xml:lang="en">
    <ows:Identifier codeSpace="http://www.opengis.net/tb13/eoc">
      DeployProcess</ows:Identifier>
    <ows:Title>Deploy Process</ows:Title>
    <ows:Abstract>This method will deploy an application encapsulated within a Docker container as a process accessible through the WPS interface.</ows:Abstract>
    <Input minOccurs="1">
      <ows:Identifier codeSpace="http://www.opengis.net/tb13/eoc">
        applicationPackage</ows:Identifier>
      <ows:Title>Application Package</ows:Title>
      <ows:Abstract>An application package, encoded as an ATOM-encoded OWS context document, describing the details of the application.</ows:Abstract>
      <ComplexData>
        <Format mimeType="application/atom+xml" default="true"/>
      </ComplexData>
    </Input>
    <Output>
      <ows:Identifier codeSpace="http://www.opengis.net/tb13/eoc">
        deployResult</ows:Identifier>
      <ows:Title>Deploy Result</ows:Title>
      <ows:Abstract>The server's response to deploying a process. A successful response will contain a summary of the deployed process.</ows:Abstract>
      <ComplexData>
        <Format mimeType="text/xml" default="true"/>
      </ComplexData>
    </Output>
  </Process>
</ProcessOffering>
```
<ows:Identifier codeSpace="http://www.opengis.net/tb13/eoc">
  UndeployProcess</ows:Identifier>
  <ows:Title>Undeploy Process</ows:Title>
  <ows:Abstract>This method removes a previously deployed process from the WPS.</ows:Abstract>
  <Input minOccurs="1">
    <ows:Identifier codeSpace="http://www.opengis.net/tb13/eoc">
      processIdentifier</ows:Identifier>
      <ows:Title>Process Identifier</ows:Title>
      <ows:Abstract>The identifier of the process to remove from the WPS.</ows:Abstract>
      <LiteralData>
        <Format mimeType="text/plain" default="true"/>
        <LiteralDataDomain>
          <AnyValue/>
          <ows:DataType ows:reference="http://www.w3.org/TR/xmlschema-2/#anyURI">
            anyURI</ows:DataType>
            </LiteralDataDomain>
          </LiteralData>
        </Input>
        <Output>
          <ows:Identifier codeSpace="http://www.opengis.net/tb13/eoc">
            undeployResult</ows:Identifier>
            <ows:Title>Undeploy Result</ows:Title>
            <ows:Abstract>This is the server's response when undeploying a process. A successful response will contain the identifier of the undeployed process.</ows:Abstract>
            <ComplexData>
              <Format mimeType="text/xml" default="true"/>
            </ComplexData>
          </Output>
        </Process>
      </ProcessOffering>

The following XML schema fragments define the response elements for the DeployProcess and UndeployProcess methods:

```xml
<element name="DeployResult" type="wps:DeployResultType">
  <annotation>
    <documentation>DeployProcess result.</documentation>
  </annotation>
</element>
<complexType name="DeployResultType">
  <sequence>
```

<element name="DeploymentDone" type="boolean"/>

<choice>
  <element name="ProcessSummary" type="wps:ProcessSummaryType" minOccurs="0">
    <annotation>
      <documentation>If DeploymentDone = true</documentation>
    </annotation>
  </element>
  <element name="FailureReason" type="xs:string">
    <annotation>
      <documentation>If DeploymentDone = false</documentation>
    </annotation>
  </element>
</choice>
</sequence>
</complexType>

<element name="UndeployResult" type="wps:UndeployResultType">
  <annotation>
    <documentation>UndeployProcess result.</documentation>
  </annotation>
</element>

<complexType name="UndeployResultType">
  <sequence>
    <element name="UndeploymentDone" type="boolean"/>
    <choice>
      <sequence>
        <annotation>
          <documentation>If UndeploymentDone = true</documentation>
        </annotation>
        <element ref="ows:Identifier" minOccurs="0">
          <annotation>
            <documentation>Identifier of the undeployed process.</documentation>
          </annotation>
        </element>
        <element ref="wps:Capabilities" minOccurs="0">
          <annotation>
            <documentation>Updated Capabilities document.</documentation>
          </annotation>
        </element>
      </sequence>
      <annotation>
        <documentation>If UndeploymentDone = false</documentation>
      </annotation>
    </choice>
  </sequence>
</complexType>
These elements may be returned as bare elements or embedded within a WPS response documents depending on the response form (i.e. response="raw" or response="document").

Terradue implementation provides a graphical user interface allowing the deployment directly from the web browser for authorized users.

![Terradue User GUI for Deployment](image)

*Figure 12. Terradue User GUI for Deployment*

The CubeWerx implementation of the DeployResult and UndeployResult responses deviate slightly from the schemas defined on OGC 13-071r1. The CubeWerx server will never generate a "FailureReason" if process deployment or undeployment fails. Instead, the CubeWerx server will generate an ows:ExceptionReport as defined in the OGC Web Service Common Implementation Specification (see OGC 06-121r9). It is unclear what the benefit of adding yet another communication channel (i.e. "FailureReason") for exceptions is when one is already defined in OGC 06-121r9. Furthermore, most OGC web service clients expect that a request will either succeed or generate an ows:ExceptionReport message.

It is understood that OGC 13-071r1 introduced the "FailureReason" element to allow exceptions in the back-end to be communicated to the calling process but, as the following example illustrates, this can easily be accomplished using the ows:ExceptionReport:
In this example, the code "VirtualMachineException" is used to indicate that the exception occurred in the backend virtual machine.

### 6.6.3. Execute

Since deployed applications appear as WPS process offerings, executing a deployed application is simply a matter of using the wps:Execute operation. The following XML fragment is an example of such a request:
Terradue implementation provides a graphical user interface allowing the execution directly from the web browser for authorized users.
The normal WPS work flow includes using the GetStatus operation to poll the server to determine the execution status of a running job. The CubeWerx implementation offers this polling method of monitoring the process BUT it also includes a more efficient notification approach where the server notifies the client when the operation has completed processing. The notification is triggered by specifying a value for the vendor-specific responseHandler parameter on the wps:Execute operation. The value of the response handler can be something like "mailto:user@server.com" or "sms:4165555555". The details of the response handler parameter can be found in OGC 16-023r3, clause 7.2.

The example above makes use of WPS request extensibility by embedding the ows:ResponseHandler element(s) within the wps:Extension element. If one or more response handlers are specified on an execute request, then the value of the mode parameter shall be set to "async". If the mode is set to another value the server shall raise an exception (see OGC 06-121r9, 8.5).

The response to an asynchronous request shall be the standard wps:StatusInfo element (see OGC 14-065, 9.5) with the modifications shown in the following XML schema fragment:
The modification allows zero or more hypermedia controls, in the form of ATOM links, to be included in the response. The value of the "rel" attribute informs the client what the link does and should be taken from the IANA Link Relations registry (see IANA) or defined with the OGC NA. An example of a common "rel" value is "monitor" which refers to a resource that can be used to monitor the status of a running job. The following XML
This hypermedia approach alleviates the client from having to understand how to form a monitor or cancel request; the client simply needs to understand the link relation and resolve the accompanying link.

### 6.6.4. Bindings

In addition the the OGC XML-POST and OGC KVP-GET bindings defined in the WPS 2.0 standard (see OGC 14-065, 10.1, 10.2), the CubeWerx WPS also implements a REST-XML binding. The following tables summarize each resource that the server offers and the HTTP methods that may be used on those resources.

<table>
<thead>
<tr>
<th>Resource path</th>
<th>Method</th>
<th>Description</th>
<th>Parameters</th>
<th>Response</th>
</tr>
</thead>
<tbody>
<tr>
<td>/</td>
<td>GET</td>
<td>Get a capabilities document</td>
<td>see OGC 16-121r9, Table 5¹</td>
<td>body contains wps:Capabilities type²</td>
</tr>
<tr>
<td></td>
<td>POST</td>
<td>undefined</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>PUT</td>
<td>undefined</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>DELETE</td>
<td>undefined</td>
<td></td>
<td></td>
</tr>
<tr>
<td>/process</td>
<td>GET</td>
<td>wps:DescribeProcess app (all)</td>
<td>see OGC 14-065, Table 38³</td>
<td>body contains wps:ProcessOfferings type⁴</td>
</tr>
<tr>
<td></td>
<td>POST</td>
<td>wps:DeployProcess op</td>
<td>body contains app package⁵</td>
<td>body contains wps:DeployResult response⁶</td>
</tr>
<tr>
<td></td>
<td>PUT</td>
<td>undefined</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>DELETE</td>
<td>undefined</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

¹ See OGC 16-121r9, Table 5
² See OGC 14-065, Table 38
³ See OGC 14-065, Table 38
⁴ See OGC 14-065, Table 38
⁵ See OGC 14-065, Table 38
⁶ See OGC 14-065, Table 38
<table>
<thead>
<tr>
<th>Resource path</th>
<th>Method</th>
<th>Description</th>
<th>Parameters</th>
<th>Response</th>
</tr>
</thead>
<tbody>
<tr>
<td>/process/{id}</td>
<td>GET</td>
<td>wps:DescribeProcess op (id)</td>
<td>see OGC 14-065, Table 51⁷</td>
<td>body contains wps:ProcessOffering type⁸</td>
</tr>
<tr>
<td>POST</td>
<td>undefined</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PUT</td>
<td>undefined</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DELETE</td>
<td>wps:UndeployProcess op</td>
<td></td>
<td>body contains wps:UndeplyResult⁹</td>
<td></td>
</tr>
<tr>
<td>/job</td>
<td>GET</td>
<td>wps:GetStatus op (all)</td>
<td></td>
<td>list of jobs</td>
</tr>
<tr>
<td>POST</td>
<td>wps:Execute op</td>
<td>body contains wps:Execute type</td>
<td>see OGC 14-065, Table 45</td>
<td></td>
</tr>
<tr>
<td>PUT</td>
<td>undefined</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DELETE</td>
<td>undefined</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>/job/{jobId}</td>
<td>GET</td>
<td>wps:GetStatus op (jobId)</td>
<td></td>
<td>body contains wps:StatusInfo¹⁰</td>
</tr>
<tr>
<td>POST</td>
<td>undefined</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>PUT</td>
<td>undefined</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>DELETE</td>
<td>wps:Dismiss op</td>
<td>body contains wps:StatusInfo¹⁰</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**NOTES:**
1. exclude Service & Request parameters
2. see 14-065, 9.7.2 (wpsGetCapabilities.xsd)
3. exclude Identifier parameter
4. see wpsDescribeProcess.xsd
5. see ES001
6. see OGC 13-071, Clause 8.4
7. exclude service, version, request and identifier parameters
8. see wpsDescribeProcess.xsd
9. see OGC 13-071, Clause 9.4
10. see wpsCommon.xsd

---

**Table 4. Rest XML Binding**

The Cuewerx server also implemented the OPTIONS method (see RFC2616, clause 9) which allows clients to ascertain the methods and representations are support for each resource.

### 6.6.5. Cuberwerx Backend

The following sequence diagram illustrates the sequence of operations performed by the WPS on the back end to execute a deployed application.
Figure 14. Sequence Diagram for Application Execution

Key:

1. ADES = Application Deployment and Execution Service
2. EFS = Elastic File System
3. EB = Elastic Beanstalk
4. DockerREG = Docker registry
5. DockerENG = Docker engine
6. App = Application bundled in Docker image
6.6.6. Notification

Introduction

The WPS standard defines a polling mechanism (see OGC 14-065, 9.4) for monitoring the status of an executing process to determine when a job is complete. A more efficient approach would be to have the ADES push a notification to the client when the execution of a job has been completed.

For TB13 the Cubewerx server has implemented a push notification mechanism based on the work done during OGC Testbed 12 and described in "Testbed-12 Implementing Asynchronous Services Response Engineering Report (see OGC 15-023r3, 7.2).

NOTE

This capability is implemented in addition to the standard polling (i.e. GetStatus) approach defined in the WPS standard (see OGC 14-065, 9.9).

ResponseHandler parameter

Push notification is triggered by the presence of the ResponseHandler parameter on a WPS Execute request. The following XML-schema fragment defines the ResponseHandler parameter:

```xml
<xsd:element name="ResponseHandler" type="xsd:anyUri"
minOccurs="0" maxOccurs="unbounded"/>
```

The ResponseHandler parameter shall be defined in the http://www.opengis.net/ows/2.0 namespace.

One or more ResponseHandler parameters may be specified in an Execute request. The value of the ResponseHandler shall either be a URL. The form of the URL shall be a valid expression of one of the notification schemes that the server claims to support in its capabilities document.

This standard does not define a normative set of notification schemes but possible schemes include:

Email scheme as per RFC 2368

Example — mailto:tb12@pvretano.com

Sms scheme as per Apple Inc. (see RFC 5724)

Example – sms:1-555-555-5555
Webhook as per http://www.webhooks.org

Example — http://www.myserver.com/posthandler

Example:

The following example wpsExecute request shows the use of the ResponseHandler parameter:

```xml
<?xml version="1.0" encoding="UTF-8"?>
<wps:Execute
    xmlns:wps="http://www.opengis.net/wps/2.0"
    xmlns:ows="http://www.opengis.net/ows/2.0"
    xmlns:xlink="http://www.w3.org/1999/xlink"
    xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
    xsi:schemaLocation="http://www.opengis.net/wps/2.0 http://www.pvretano.com/schemas/wps/2.0/wps.xsd"
    service="WPS"
    version="2.0.0"
    response="document"
    mode="async">
    <wps:Extension>
        <ows:ResponseHandler>
            mailto:pvretano_tb13@gmail.com</ows:ResponseHandler>
        </wps:Extension> -->
    <ows:Identifier>...</ows:Identifier>
    .
    .
    .
</wps:Execute>
```

Response

The response to a wps:Execute request that contains ows:ResponseHandler elements is the standard asynchronous wpsStatusInfo response (see OGC 14-065,9.9.2) that has been extended to accommodate hypermedia controls. The following XML-Schema fragment redefines the standard response to include hypermedia controls in the from of ATOM links (see OGC 12-007r2, 9.1.3):
This allows zero or more hypermedia controls to be included in the response. Two "rel" values, rel="monitor" and rel="cancel" are used by the CubeWerx server to provide links that may be used to monitor the status of a job and links that may be used to cancel a job.

The following example shows the use of hypermedia control in the response to an Execute request:
In this case, the client may follow the rel="cancel" link to cancel the job and may use the rel="monitor" link to get the current status of a job. The advantage of using hypermedia controls is that the hypermedia controls are opaque thus relieving the client of having to know how to construct these URLs. All the clients need to know is how to interpret the rel value and how to follow links.
Chapter 7. Alternative approach

7.1. Overview

The approach described in this chapter is an alternative to the one described in the previous Implementation chapter. It is based on the use of a Transactional WPS (WPS-T) as described in the WPS 2.0 Transactional Extension [3] discussion paper. This discussion paper was itself derived from the WPS 1.0 based Transactional WPS Extension (WPS-T) Specification [4] and from the experience gained in several ESA projects where the Transactional WPS 1.0 was used to deploy BPEL workflows, Grid applications, Oozie workflows, and Java applications.

In this approach, as illustrated in Figure 15, the Application Deployment and Execution Service (ADES) is essentially a set of WPS-T servers, each server encapsulating a particular cloud processing environment (e.g. IPT Poland or AWS) where the TEP applications are deployed and executed. The TEP applications are containerized applications running on virtual machines equipped with a container execution engine (e.g. Docker Engine) and possibly container clustering tools (e.g. Docker Swarm, Mesos, or Kubernetes). The container technology used in this testbed is Docker.

![Figure 15. Alternative Approach Overview](image-url)
7.2. Application Package

The Application package proposed in this testbed is an OWS Context document as defined in the *Exploitation Platform Application Package Engineering Report* [2]. This document provides all the information necessary for both the Application Management Client (AMC) and the Application Deployment and Execution Service to perform their work for the corresponding application. This information includes a WPS Process Description that matches the inputs and outputs of the application.

In this approach, instead of embedding (using an OWC Offering) the WPS Process Description inside the OWS Context document, an enhanced WPS Process Description is actually used to embed (using OWS Metadata) the remaining part of the OWS Context. This is done using the ApplicationContext element as illustrated in the XML fragment below. Therefore, no information is lost when using the enhanced WPS Process Description. The advantage of this approach is that the discovery (e.g. by the AMC) of Application Packages coincides with the discovery of WPS Processes.

The source of the Docker image used in this chapter is available on GitHub ([https://github.com/spacebel/landcover](https://github.com/spacebel/landcover)) and the image is available on Docker Hub ([https://hub.docker.com/r/cnlspacebel/landcover/](https://hub.docker.com/r/cnlspacebel/landcover/)).

### WPS Process Description with OWS Context

```xml
<wps:ProcessOffering xmlns:wps="http://www.opengis.net/wps/2.0" ...
jobControlOptions="async-execute dismiss" outputTransmission="value reference">
  <wps:Process>
    <ows:Title>Land Cover Mapping</ows:Title>
    <ows:Abstract>Land Cover Mapping is based on the Sentinel-2 processing workflow generated for the F-TEP platform.</ows:Abstract>
    <ows:Identifier>LandCover</ows:Identifier>
      <eoc:ApplicationContext>
        <feed xmlns="http://www.w3.org/2005/Atom" ... >
          <title>EoC Land Cover Application Package</title>
          ...
          <entry>
            <title>EoC Land Cover Application</title>
            ...
          </entry>
        </feed>
      </eoc:ApplicationContext>
    </ows:Metadata>
  </wps:Process>
</wps:ProcessOffering>
```
<title>OpenSearch Collections</title>

<!-- OpenSearch offering for IPT Poland -->
<owc:offering code="http://www.opengis.net/spec/owc-atom/1.0/req/opensearch">
  <owc:content type="application/opensearchdescription+xml" />
</owc:offering>

<!-- OpenSearch offering for AWS -->
<owc:offering code="http://www.opengis.net/spec/owc-atom/1.0/req/opensearch">
  <owc:content type="application/opensearchdescription+xml" />
</owc:offering>

</entry>
</feed>
</eoc:ApplicationContext>
</ows:Metadata>
<wps:Input>
...</wps:Input>
...</wps:Output>
</wps:Process>
</wps:ProcessOffering>
7.3. Application Management Client

The Application Management Client is a generic component that can be used for any TEP Application. It relies entirely on the information provided in the Application Package (i.e. the enhanced WPS Process Description described in the previous section) in order to dynamically build the application specific user interface needed to:

- Discover in the Central Geospatial Resource Catalogue the satellite images (e.g. Sentinel-2) to be processed,
- Capture the inputs for the execution of the processing TEP Application,
- Monitor the execution status of the TEP application, and
- Download and visualize the processing outputs.

The AMC uses operations provided by the Central Geospatial Resource Catalogue and the Application Deployment and Execution Service as illustrated in Figure 16.

![Figure 16. Sequence of WPS Operations used by Client](image)
The TEP Application deployment is performed (at Marco’s request) using the WPS-T DeployProcess operation. The typical case is when the container image is available on an external registry. However, if the image is a relatively small layer on top of another image, this image could also be passed by value in the DeployProcess request and stored in a local registry.

![Figure 17. Deploy Application Screen](image)

The discovery by the AMC of available (i.e. deployed) applications is performed using the WPS GetCapabilities operation followed by a WPS DescribeProcess operation. The WPS DescribeProcess operation returns a process description with all the information specific to the selected application. In particular, the OWS Context contains the entry point in the Central Geospatial Resource Catalogue i.e. the URL to get the OpenSearch Description Document (OSDD) and the reference to the container image in a Docker registry. Of course, the process description also contains all the details regarding the application inputs and outputs.

![Figure 18. Applications Screen](image)
The execution of the application is performed (at Jim’s request) using WPS Execute operation. To capture the inputs, the AMC uses the process description to build the appropriate user interface. For inputs to be obtained from the Central Geospatial Resource Catalogue, the AMC uses the information (template and parameters) contained in the OSDD to build the appropriate user interface.

![Execute Application Screen](Figure 19. Execute Application Screen)

![Product Search Screen](Figure 20. Product Search Screen)
To monitor the execution of the applications, the AMC uses the WPS GetStatus operation. Note that TEP Applications have typically long execution times and are, therefore, executed in asynchronous mode.
Finally, to get the results generated by the application, the AMC uses the WPS GetResult operation. Depending on the type of results, a download and/or preview of the results is also provided.

![Execution Result Screen](image)

*Figure 23. Execution Result Screen*

The TEP Application used in *Alternative approach* is the Land Cover Mapping application described in the *F-TEP Application in OGC Testbed 13* [8] document.

7.4. Application Deployment and Execution Service

The Application Deployment and Execution Service is a component that implements the WPS-T operations shown on Figure 16. This figure also illustrates the ADES interaction with the cloud environment and with the containerized TEP Application.

The WPS-T implementation is very close to the WPS 2.0 Transactional Extension [3] document with some minor differences as explained below. This document covers the HTTP POST + XML and KVP encodings. REST + JSON encoding is proposed below in a separate section.

As shown on Figure 24, the Capabilities document is unchanged and contains the list of the deployment profiles supported by the server.

![Figure 24. WPS-T Supported Deployment Profiles in Capabilities Document](image)

The DeployProcess request is modified slightly to include a ProcessOffering (that includes a ProcessDescription) instead of a ProcessDescription and a DeploymentProfile element is added to hold the DeploymentProfileName and the ExecutionUnit. A ProfileExtension is introduced to allow for additional information specific to a particular processing environment. For example, it could possibly be used to pass the ApplicationContext described earlier instead of including it in the OWS Metadata of the Process Description. The ExecutionUnit is made optional (if specified, the corresponding information in the enhanced WPS Process Description is overwritten) and its InstructionPackage is renamed simply as Package. This is illustrated on Figure 25.
As shown on Figure 26, the UndeployProcess operation is unchanged. The KeepExecutionUnit is not used in the testbed as this is handled by the Docker Engine.

As illustrated in Figure 16, the ADES interacts with the Docker Engine and with the TEP Application. The ADES interacts with the Docker Engine in order to prepare the container environment (e.g. mounting shared storage in the container and creating environment variables) and to start the application in the container (resulting in the execution of the shell script defined in the Docker image). The ADES interacts with the TEP Application through environment variables to pass WPS inputs and WPS outputs.
The values of WPS inputs of LiteralData type and the file location of WPS inputs of ComplexData type are assigned (by the ADES) to the corresponding environment variables provided to the TEP Application. The target file location of WPS outputs (of both LiteralData and ComplexData types) are assigned (by the ADES) to the corresponding environment variables provided to the TEP Application. It is the responsibility of the ADES to make the input files available in the container environment by extracting them from the execute request (data transmission by value), or by fetching them from a remote location (data transmission by reference with a protocol such as http://), or by mounting them from a local store (data transmission by reference with a protocol such as file:// or s3://). It is the responsibility of the TEP Application to create/append results to output files.

To ensure interoperability, a convention is used to name the environment variables i.e. by prefixing the WPS input identifier with WPS_INPUT_ (e.g. WPS_INPUT_Image) and the output identifier with WPS_OUTPUT_ (e.g. WPS_OUTPUT_Image). Although not needed by the Land Cover Mapping application used in the testbed, the convention should also cover repeated WPS inputs and WPS outputs (e.g. WPS_INPUT_Mask_x with x=1,2,3…) as well as nested WPS inputs and WPS outputs (e.g. WPS_INPUT_Band_x_IntensityMin).

The development of the testbed ADES is based on 52°North WPS 2.0 implementation that was used in OGC Testbed 12 (https://github.com/52North/WPS/tree/wps-4.0, Git revision 9aaa70b341) and is available on GitHub (https://github.com/spacebel/WPS). Its Wiki (https://github.com/spacebel/WPS/wiki) provides additional details. The ADES is available at the following location: http://ld-ogc-tb13-tep.spacebel.be:8081/wps/.
7.5. Interoperability

The approach described in this chapter is an alternative to the approach described in the Implementation chapter. This section proposes mechanisms that can be used to allow for interoperability of the above described WPS-T based ADES with other AMC clients and Application Packages.

Some AMC clients are designed to interface with an ADES service that offers a dedicated DeployProcess WPS process that is used for the deployment of the TEP Application. The execution of this process takes the application OWS Context as an input. Therefore, a similar non-transactional DeployProcess WPS process can be added in the WPS-T based ADES. This process can extract from the OWS Context all the information needed to invoke an "internal" DeployProcess operation as illustrated in Figure 27. Once deployed, the execution of the newly deployed process (e.g. LandCover) is identical for all AMC clients. A similar approach can be used for the undeployment of the TEP Application using an UndeployProcess WPS process.

Some ADES servers are designed to interface with an application that uses staging commands. Therefore, to be able to use the same application container image for all ADES servers, staging commands can be written to wrap the interface based on environment variables and volume mounting of shared storage.

Figure 27. ADES Interoperability
7.6. REST + JSON Encoding

The REST interface to the Transactional WPS is built on top of the REST binding defined in chapter 11 of *OGC Testbed 12 REST Architecture Engineering Report* [5]. No new resources are needed but, as shown on Figure 28, two new HTTP operations must be defined to support the deployment and undeployment of WPS processes.

Note that a new HTTP DELETE operation was also added to support the Dismiss extended WPS operation defined in chapter 12 of the *OGC WPS 2.0 Interface Standard* [OGC 14-065].

<table>
<thead>
<tr>
<th>HTTP Operation</th>
<th>Access Path</th>
<th>Parameters</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>GET</td>
<td>{WpsRESTBaseURL}</td>
<td>NA</td>
<td>Retrieval of Capabilities resource</td>
</tr>
<tr>
<td>GET</td>
<td>{WpsRESTBaseURL}/processes</td>
<td>NA</td>
<td>Retrieval of ProcessCollection resource</td>
</tr>
<tr>
<td>GET</td>
<td>{WpsRESTBaseURL}/processes/{process-id}</td>
<td>NA</td>
<td>Retrieval of a Process resource (process description)</td>
</tr>
<tr>
<td>GET</td>
<td>{WpsRESTBaseURL}/processes/{process-id}/jobs</td>
<td>NA</td>
<td>Retrieval of list of jobs of a specific process (JobCollection)</td>
</tr>
<tr>
<td>POST</td>
<td>{WpsRESTBaseURL}/processes/{process-id}/jobs</td>
<td></td>
<td>Execution of a process (contained in body)</td>
</tr>
<tr>
<td>GET</td>
<td>{WpsRESTBaseURL}/processes/{process-id}/jobs/{job-id}</td>
<td>NA</td>
<td>Retrieval of a single Job resource</td>
</tr>
<tr>
<td>GET</td>
<td>{WpsRESTBaseURL}/processes/{process-id}/jobs/{job-id}/outputs</td>
<td>NA</td>
<td>Retrieval of job results</td>
</tr>
<tr>
<td>DELETE</td>
<td>{WpsRESTBaseURL}/processes/{process-id}/jobs/{job-id}</td>
<td>NA</td>
<td>Dismiss a single Job resource</td>
</tr>
<tr>
<td>POST</td>
<td>{WpsRESTBaseURL}/processes</td>
<td></td>
<td>Deployment of a process (contained in body)</td>
</tr>
<tr>
<td>DELETE</td>
<td>{WpsRESTBaseURL}/processes/{process-id}</td>
<td>NA</td>
<td>Undeployment of a process</td>
</tr>
</tbody>
</table>

Notations:
- {WpsRESTBaseURL}: The base URL of the WPS REST endpoint.
- {process-id}: identifier of a process.
- {job-id}: identifier to a job.

*Figure 28. WPS-T REST HTTP Operations*

The JSON encoding of the request and responses are derived from XML encoding using the rules defined in chapter 6 of *OGC Testbed 11 Implementing JSON/GeoJSON in an OGC Standard Engineering Report* [6].
An example of the JSON encoding of (the body of) the DeployProcess request is shown below.

**WPS-T JSON DeployProcess Request**

```json
{
    "DeployProcess": {
        "service": "WPS",
        "version": "2.0.0",
        "ProcessOffering": {
            "jobControlOptions": "async-execute dismiss",
            "outputTransmission": "value reference",
            "Process": {
                "Title": "Land Cover Mapping",
                "Abstract": "Land Cover Mapping is based on the Sentinel-2 processing workflow generated for the F-TEP platform."
            },
            "Identifier": "LandCover",
            "Metadata": {
                "role": "http://www.opengis.net/tb13/eoc/applicationContext",
                "ApplicationContext": {
                    "feed": {
                        "title": "EOC Land Cover Application Package",
                        "entry": [
                            {
                                "title": "EOC Land Cover Application",
                                "offering": {
                                    "code": "http://www.opengis.net/tb13/eoc/docker",
                                    "content": {
                                        "type": "text/plain",
                                        "content": "registry.hub.docker.com/cnlspacebel/landcover"
                                    }
                                }
                            },
                            {
                                "title": "OpenSearch Collections",
                                "offering": [
                                    {
                                        "code": "http://www.opengis.net/spec/owc-atom/1.0/req/opensearch",
                                        "content": {"type": "application/atom+xml"}
                                    }
                                ]
                            }
                        ]
                    }
                }
            }
        }
    }
}
```
"type": "application/opensearchdescription+xml",
"href": "http://geo.spacebel.be/opensearch/description.xml?parentIdentifier=EOP%3AIPT%3ASentinel2"
},
{
"code": "http://www.opengis.net/spec/owc-atom/1.0/req/opensearch",
"content": {
"type": "application/opensearchdescription+xml",
"href": "http://geo.spacebel.be/opensearch/description.xml?parentIdentifier=EOP%3ASENTEL-HUB%3ASentinel2"
}
}
]
]
}
}
,"Input": [
{
"Title": "Sentinel-2 Image",
...
...

}
],
"Output": {
...
}
}
,"DeploymentProfile": {
"DeploymentProfileName": "DockerDeploymentProfile",
"ExecutionUnit": {
"Reference": {
"href": "registry.hub.docker.com/cnlspacebel/landcover"
}
}
}
}
}
The JSON encoding of the (body of the) response to the above DeployProcess request example is shown below.

**WPS-T JSON DeployProcess Response**

```json
{
   "DeployResult": {
      "DeploymentDone": "true",
      "ProcessSummary": {
         "jobControlOptions": "async-execute",
         "Title": "Land Cover Mapping",
         "Identifier": "LandCover"
      }
   }
}
```

The JSON encoding of (the body of) the UndeployProcess response is shown below. The UndeployProcess request has no body.

**WPS-T JSON UndeployProcess Response**

```json
{
   "UndeployResult": {
      "UndeploymentDone": "true",
      "Identifier": "LandCover"
   }
}
```

The plan was to develop the REST + JSON encodings for the WPS-T ADES using the WPS 2.0 REST façade implementation from 52° North that was also used in OGC Testbed 12 ([https://github.com/52North/wps-proxy](https://github.com/52North/wps-proxy)). However, due to lack of time, this implementation was not done.
7.7. Security Aspects

As illustrated on Figure 15, the security approach to be followed in the testbed, at least for IPT Poland where an Identity Provider (IdP) and a Security Token Service (STS) are available, is compliant with the User Management Interfaces for Earth Observation Services [7] document. The HTTP bindings described in sections 7.1.2 (STS interface) and 7.2.2 (PEP interface) of that document are used (instead of the SOAP bindings).

Authentication (and Single Sign-On) is performed on the client side (AMC) using a checkpoint (in this case a Shibboleth Service Provider) that intercepts and redirects, if the user is not already signed on, access requests to protected AMC resources to a Web page of the IdP (in this case a Shibboleth IdP) for authentication. Once authenticated, the checkpoint includes IdP provided assertions (e.g. about the username, email, etc.) in the HTTP header of the user request and forwards this request to the AMC.

Authentication and authorisation is performed on the server side (ADES) using an STS and a Policy Enforcement Point (PEP) respectively. According to the "Local STS with External IdP" approach described in section 6.4.3.3 of [7], the AMC client sends a signed Request Security Token (RST) with the username to the STS and gets back a SAML token signed by STS and encrypted with the public key of the PEP. The AMC then inserts this SAML token in the HTTP header of all service requests it sends towards the ADES (a new SAML token must be obtained frequently to avoid token expiration). The PEP then decrypts the SAML token, verifies the token signature, and performs authorisation using policies based on the SAML assertions. If authorised, the AMC request is finally forwarded to the ADES (with or without the decrypted SAML token).

The PEP software provided by ESA for the testbed does not actually perform the authorisation part, but simply passes the assertions (SAML attributes) to the ADES in the HTTP header.

Although compliant with section 7.2.2 of [7], the provided PEP is not inline with the spirit of the User Management Interfaces for Earth Observation Services [7] document (see section 6.1) where the PEP was meant to perform authorisation in a transparent (non-intrusive) way i.e. without impacting the target service (in this case the ADES). Therefore, and also due to lack of time, the security aspects were not implemented in this testbed.
Appendix A: Abstract Test Suite

An Abstract Test Suite may be relevant to an Engineering Report.

An Abstract Test Suite is specified in Clause 9 and Annex A of ISO 19105. That Clause and Annex specify the ISO/TC 211 requirements for Abstract Test Suites. Examples of Abstract Test Suites are available in an annex of most ISO 191XX documents, one of the more useful is in ISO 19136. Note that this guidance may be more abstract than needed in an OGC® Implementation Standard.

<table>
<thead>
<tr>
<th>Test identifier</th>
<th>/test/case/id</th>
</tr>
</thead>
<tbody>
<tr>
<td>Test purpose</td>
<td>Confirm that the IUT satisfies all applicable requirements for conformance level 1.</td>
</tr>
<tr>
<td>Test method</td>
<td>Functional testing performed in an automated and/or manual manner. Verify the behaviour of the IUT for the following operations:</td>
</tr>
<tr>
<td></td>
<td>• GetCapabilities (mandatory)</td>
</tr>
<tr>
<td></td>
<td>• DescribeRecord (mandatory)</td>
</tr>
<tr>
<td></td>
<td>• GetRecords (mandatory)</td>
</tr>
<tr>
<td></td>
<td>• GetRecordById (mandatory)</td>
</tr>
<tr>
<td></td>
<td>• GetRepositoryItem (mandatory)</td>
</tr>
<tr>
<td></td>
<td>• GetDomain (optional)</td>
</tr>
<tr>
<td>Requirement</td>
<td>OGC 07-110: cl. 2.2</td>
</tr>
<tr>
<td>Test type</td>
<td>Capability</td>
</tr>
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Table 5. A.1.1 Conformance level 1

<table>
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<tr>
<th>Test identifier</th>
<th><a href="http://www.opengis.net/spec/xxx/conf/WRS.General-ValidResponse">http://www.opengis.net/spec/xxx/conf/WRS.General-ValidResponse</a></th>
</tr>
</thead>
<tbody>
<tr>
<td>Test purpose</td>
<td>The XML response entity is valid.</td>
</tr>
<tr>
<td>Test method</td>
<td>Validate content of response entity against corresponding element declaration.</td>
</tr>
<tr>
<td>Requirement</td>
<td>OGC 07-006r1: cl. 10.2.5.1, p. 118</td>
</tr>
<tr>
<td>Test type</td>
<td>Capability</td>
</tr>
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</table>

Table 6. A.1.2 Test case for validity of XML response entity

<table>
<thead>
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<th>Test identifier</th>
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</thead>
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<tr>
<td>Test purpose</td>
<td>Confirm that the IUT satisfies all applicable requirements for conformance level 1.</td>
</tr>
<tr>
<td>Test method:</td>
<td>Functional testing performed in an automated and/or manual manner. Verify the behaviour of the IUT for the following operations:</td>
</tr>
<tr>
<td>-------------</td>
<td>------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td></td>
<td>• GetCapabilities (mandatory)</td>
</tr>
<tr>
<td></td>
<td>• DescribeRecord (mandatory)</td>
</tr>
<tr>
<td></td>
<td>• GetRecords (mandatory)</td>
</tr>
<tr>
<td></td>
<td>• GetRecordById (mandatory)</td>
</tr>
<tr>
<td></td>
<td>• GetRepositoryItem (mandatory)</td>
</tr>
<tr>
<td></td>
<td>• GetDomain (optional)</td>
</tr>
<tr>
<td>Requirement:</td>
<td>OGC 07-110: cl. 2.2</td>
</tr>
<tr>
<td>Test type:</td>
<td>Capability</td>
</tr>
</tbody>
</table>

Table 7. A.2.1 Conformance level 2

<table>
<thead>
<tr>
<th>Test identifier:</th>
<th><a href="http://www.opengis.net/spec/xxx/conf/WRS.General-ValidResponse">http://www.opengis.net/spec/xxx/conf/WRS.General-ValidResponse</a></th>
</tr>
</thead>
<tbody>
<tr>
<td>Test purpose:</td>
<td>The XML response entity is valid.</td>
</tr>
<tr>
<td>Test method:</td>
<td>Validate content of response entity against corresponding element declaration.</td>
</tr>
<tr>
<td>Requirement:</td>
<td>OGC 07-006r1: cl. 10.2.5.1, p. 118</td>
</tr>
<tr>
<td>Test type:</td>
<td>Capability</td>
</tr>
</tbody>
</table>

Table 8. A.2.2 Test case for validity of XML response entity
Appendix C: XML Schema Documents

XML Schema Documents may be relevant to an Engineering Report.

The term “XML schema” means all the XML schema parts having the same XML namespace, usually separated into multiple XML Schema Document files (with the file type “.xsd”). The XML schema parts in one XML namespace are usually separated into multiple XML Schema Documents to ease human understanding.

In addition to this document, this report includes several XML Schema Documents. These XML Schema Documents are bundled in a zip file with the present document.

The TBD abilities now specified in this document use TBD specified XML Schema Documents included in the zip file with this document. These XML Schema Documents combine the XML schema fragments listed in various subclauses of this document, eliminating duplications.

These XML Schema Documents roughly match the TBD UML packages described in Annex B, and are named:

TBD.xsd
TBD.xsd

These XML Schema Documents use and build on the OWS common XML Schema Documents specified [OGC 06-121r3], named:

ows19115subset.xsd
owsCommon.xsd
owsDataIdentification.xsd
owsExceptionReport.xsd
owsGetCapabilities.xsd
owsOperationsMetadata.xsd
owsServiceIdentification.xsd
owsServiceProvider.xsd

All these XML Schema Documents contain documentation of the meaning of each element and attribute, and this documentation shall be considered normative as specified in Subclause 11.6.3 of [OGC 06-121r9].
Appendix B: UML model

A UML model may be relevant to an Engineering Report. This template thus includes this annex as the place for recording this UML model.

Instructions and guidelines on the usage of UML models are provided in OGC document OGC-121r9 [https://portal.opengeospatial.org/files/?artifact_id=38867].
Appendix D: Revision History

<table>
<thead>
<tr>
<th>Date</th>
<th>Release</th>
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<tr>
<td>June 15, 2016</td>
<td>I. Simonis</td>
<td>.1</td>
<td>all</td>
<td>initial version</td>
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<tr>
<td>July 22, 2016</td>
<td>I. Simonis</td>
<td>.9</td>
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<td>September 7, 2016</td>
<td>S. Simmons</td>
<td>1.0</td>
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<tr>
<td>March 23, 2017</td>
<td>I. Simonis</td>
<td>2.0</td>
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</tr>
</tbody>
</table>

Table 9. Revision History
Appendix E: Bibliography


