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### Volume 14 OGC CDB Guidance on Conversion of CDB Shapefiles into CDB GeoPackages (Best Practice)

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## **i. Abstract**

This best practices document describes the conversion process for converting CDB structured Shapefile to CDB structured GeoPackages. This is the companion document to the optional OGC CDB extension document, which defines the requirements and provides CDB specific guidance on using GeoPackage containers in a CDB data store.

## **ii. Keywords**

The following are keywords to be used by search engines and document catalogues.

OGC, ogcdoc, OGC document, CDB, GeoPackage

## **iii. Preface**

### **Background for this optional CDB Extension**

The original requirement for the optional CDB extension was documented in [OGC Change Request 545](#). This OGC change request was submitted based on work performed in [OGC Testbed 13](#). The testbed activity and related change request captured a broad community requirement for being able to use GeoPackage containers in a CDB data store. At the same time, an additional requirement was identified to test and identify best practices for moving CDB vector files stored as Shapefiles into one or more GeoPackages.

In 2019, the CDB SWG executed the [CDB Vector Data in GeoPackage Interoperability Experiment \(IE\)](#). The participants in this IE tested transforming CDB Shapefile vector data into one or more GeoPackage(s) and storing the result in a CDB data store. GeoPackage Version 1.2 and CDB Version 1.1 and related Best Practices were the standards baseline used for this experiment. The IE built on the work described in the [OGC CDB, Leveraging the GeoPackage Discussion Paper](#). A primary objective of the IE was to agree and document possible change requests and/or best practices for storing vector data in a CDB data using encodings and/or containers other than Shapefiles.

### **OGC Patent Declaration**

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## **iv. Submitting organizations**

The following organizations submitted this Document to the Open Geospatial Consortium (OGC):

Cognitics, Inc.

CAE Inc.

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# Chapter 1. Scope

This Best Practice complements the optional OGC CDB Extension that defines the behavior and requirements for encoding vector data in a GeoPackage container for use in a CDB data store. Both are grounded in the existing CDB Core requirements and the GeoPackage core requirements for vector data.

This document discusses the results and conclusions of the Interoperability Experiment conducted to determine the optimal use of GeoPackage in CDB, assuming relatively minor changes to the CDB current standard. It also offers general guidance on the use of GeoPackage in CDB, with details on the flattened attribute schema.

## NOTE

Before reading the remainder of this Best Practices document, please remember that the idea is to restrict the encoding of a dataset to a single vector format per CDB Version. Since a “CDB” is made of one or more “Versions” (as specified by Configuration.xml or Version.xml), and that each CDB Version can have a different encoding for a given dataset, the result is that a “CDB” may pretty well exist with multiple encodings for the same dataset. This means that if you wish to use GeoPackage containers, you need to create a version that will just contain GeoPackages of the vector data and not include any Shapefiles.

## 1.1. CDB Introduction

The CDB standard defines a data model and the representation, organization, storage structure and conventions necessary to support all of the subsystems of a simulation workflow. The standard makes use of existing commercial and simulation data formats [1: Future versions of the CDB standard will continue to define the use of other industry approved standards and formats.].

The CDB conceptual model is a representation of the natural environment including external features such as man-made structures and systems. The model encompasses planimetry, terrain relief, terrain imagery, three-dimensional (3D) models of natural and man-made cultural features, 3D models of vehicles, the ocean surface, and the ocean bottom, including features (both natural and man-made) on the ocean floor. In addition, the model includes the attributes of the synthetic environment data as well as their relationships.

A data store that conforms to the CDB standard (i.e., a CDB) contains datasets organized in layers, tiles and levels-of-detail. Together, these datasets represent the features and models of a synthetic environment for the purposes of distributed simulation applications. The organization of the geospatial data in a CDB data store is specifically tailored for real-time applications.

For ease of editing and review, the standard has been separated into 16 Volumes, one being a schema repository.

- Volume 0: OGC CDB Companion Primer for the CDB standard (Best Practice).
- Volume 1: OGC CDB Core Standard: Model and Physical Data Store Structure. The main body (core) of the CDB standard (Normative).

- Volume 2: OGC CDB Core Model and Physical Structure Annexes (Best Practice).
- Volume 3: OGC CDB Terms and Definitions (Normative).
- Volume 4: OGC CDB Rules for Encoding CDB Vector Data using Shapefiles (Best Practice).
- Volume 5: OGC CDB Radar Cross Section (RCS) Models (Best Practice).
- Volume 6: OGC CDB Rules for Encoding CDB Models using OpenFlight (Best Practice).
- Volume 7: OGC CDB Data Model Guidance (Best Practice).
- Volume 8: OGC CDB Spatial Reference System Guidance (Best Practice).
- Volume 9: OGC CDB Schema Package: <http://schemas.opengis.net/cdb/> provides the normative schemas for key features types required in the synthetic modelling environment. Essentially, these schemas are designed to enable semantic interoperability within the simulation context (Normative).
- Volume 10: OGC CDB Implementation Guidance (Best Practice).
- Volume 11: OGC CDB Core Standard Conceptual Model (Normative).
- Volume 12: OGC CDB Nav aids Attribution and Nav aids Attribution Enumeration Values (Best Practice).
- Volume 13: OGC CDB Rules for Encoding CDB Vector Data using GeoPackage (Normative, Optional Extension).
- Volume 14: OGC CDB Guidance on Conversion of CDB Shapefiles into CDB GeoPackages (Best Practice).
- Volume 15: OGC CDB Optional Multi-Spectral Imagery Extension (Normative).

The major enhancements for version 1.2 are the definition of 1.) rules for encoding GeoPackages in a CDB data store, 2.) documenting best practices for conversion of CDB ShapeFiles into CDB GeoPackages, and 3.) resolution and incorporation of changes defined in <X> OGC Change Requests.

The GeoPackage encoding is an optional extension and does not need to be implemented to provide a compliant CDB data store to the community. The only caveat is that if the developer of a version of a CDB data store wishes to use GeoPackages, then that version of the data store must use only the CDB GeoPackage encoding. Shapefiles and GeoPackages cannot be mixed in a unique version of a CDB data store.

# Chapter 2. Conformance

The OGC CDB Volume 1: Core specifies requirements that all GeoPackages that are to be stored in a CDB store shall implement.

# Chapter 3. References

The following normative documents contain provisions that, through reference in this text, constitute provisions of this document. For dated references, subsequent amendments to, or revisions of, any of these publications do not apply. For undated references, the latest edition of the normative document referred to applies.

ISO / TC 211: ISO 19115-1:2014 Geographic information — Metadata — Part 1: Fundamentals (2014)

OGC: OGC 15-113r5, Volume 1: OGC CDB Core Standard: Model and Physical Data Store Structure (2017)

OGC: OGC 16-070r3, Volume 4: OGC CDB Best Practice use of Shapefiles for Vector Data Storage (2017)

OGC: OGC 12-128r15, OGC GeoPackage Encoding Standard - with Corrigendum (2018)

OGC: OGC ???, OGC Vector GeoPackage Extension (TBD)

# Chapter 4. Terms and Definitions

This document uses the terms defined in Sub-clause 5.3 of [OGC 06-121r8], which is based on the ISO/IEC Directives, Part 2, Rules for the structure and drafting of International Standards. In particular, the word “shall” (not “must”) is the verb form used to indicate a requirement to be strictly followed to conform to this Best Practice.

For the purposes of this document, the following additional terms and definitions apply.

## 4.1. coordinate reference system

coordinate system that is related to the real world by a datum [ISO 19111]

## 4.2. coordinate system

set of mathematical rules for specifying how coordinates are to be assigned to points [ISO 19111]

## 4.3. dataset

collection of data, published or curated by a single agent, and available for access or download in one or more formats [DCAT]

Note: The use of 'collection' in the definition from [DCAT] is broader than the use of the term collection in this standard. See the definition of feature collection.

## 4.4. feature

abstraction of real world phenomena [ISO 19101-1:2014]

Note: If you are unfamiliar with the term 'feature', the explanations on Spatial Things, Features and Geometry in the W3C/OGC Spatial Data on the Web Best Practice document provide more detail.

## 4.5. feature collection; collection

a set of features from a dataset

Note: In this standard, 'collection' is used as a synonym for 'feature collection'. This is done to make this document easier to understand for those that are not geo-experts.

## 4.6. height

Distance of a point from a chosen reference surface measured upward along a line perpendicular to that surface. [ISO 19111]

Note 1 to entry: A height below the reference surface will have a negative value, which would embrace both gravity-related heights and ellipsoidal heights.

## 4.7. linestring

curve composed of straight-line segments (aka line) [ISO 19136:2007]

## 4.8. point

0-dimensional geometric primitive, representing a position [ISO 19136:2007]

Note to entry: The boundary of a point is the empty set.

## 4.9. polygon

planar surface defined by 1 exterior boundary and 0 or more interior boundaries [ISO 19136:2007]

## 4.10. GeoPackage file

a platform-independent SQLite database file that contains GeoPackage data and metadata tables with specified definitions, integrity assertions, format limitations and content constraints.

## 4.11. tile

a geometric shape with known properties that is the result of the tiling (tessellation) of a plane. A tile consists of a single connected "piece" without "holes" or "lines" (topological disc).

## 4.12. Valid GeoPackage

A GeoPackage that contains features per clause Features and/or tiles per clause Tiles and row(s) in the `gpkg_contents` table with `data_type` column values of "features" and/or "tiles" describing the user data tables.

## 4.13. vector and vector data

quantity having direction as well as magnitude

Note to entry: A directed line segment represents a vector if the length and direction of the line segment are equal to the magnitude and direction of the vector. The term vector data refers to data that represents the spatial configuration of features as a set of directed line segments. [ISO 19123:2005]

## 4.14. vector geometry

representation of geometry through the use of constructive geometric primitives [ISO 19107:2003]

## 4.15. Version

A collection of pure CDB Datasets and/or user-defined datasets. Please see section 3.2 of Volume 1: OGC CDB Core Standard: Model and Physical Data Store Structure for details on the CDB versioning strategy and structure.

# Chapter 5. Results from Interoperability Experiments

OGC CDB and GeoPackage are both standards increasingly used in M&S and GEOINT, but they both contain weaknesses and strengths when it comes to the combined needs of both industries. The combined technology of GeoPackage and OGC CDB is hoped to be a deterministic repository of easily read geospatial datasets suitable for storage, runtime access, and dissemination for live, virtual, constructive, gaming, and Mission Command (MC) systems. The use of CDB raised concerns in both industries because it utilizes Esri Shapefiles and Presagis OpenFlight, both of which are now open standards but were once proprietary. The primary issue is that Shapefiles leverage the dBase IV format to store feature attribution. To address the limitations of ESRI Shapefiles within CDB, potential designs were explored to integrate OGC GeoPackage with OGC CDB. GeoPackage technology was designed to ensure Mobile / Handheld devices could interoperate with systems within the larger Command Post and U.S. Army Enterprise. Current Geopackage adaptations can natively store 3D information, routing information, various types of data ‘tiles’, and other geographically related datasets. An implementation of OGC GeoPackage within CDB aligns CDB vector data storage with the U.S. Army architecture.

Four approaches were testing in the Interoperability Experiment:

- Experiment 1: Conversion of Shapefiles in one or more CDB data stores into GeoPackages as required for Experiments 2, 3, and/or 4.
- Experiment 2: One-to-one conversion of a Shapefile into a GeoPackage.
- Experiment 3: Store each CDB Level of Detail (LOD) as a layer in GeoPackage.
- Experiment 4: Store each Geocell of Vector Data as a layer in GeoPackage.

Experiment 2 was further broken down into Options 1a – 1d. Each experiment offered its own set of potential advantages, disadvantages, and lessons learned.

Utilizing Option 1c:

- Fully flattened instance, class, and extended level attribute tables
- There is a 4:1 to 7:1 reduction in the number of files.
- Some duplication of data, resulting in larger files.
- There is one layer per GeoPackage.
- The Feature Class and Extended Attributes are populated for each feature.
- Full “Off the Shelf” GeoPackage software compatibility.
- Best Experiment 2 option in terms of file size and performance time.

Utilizing Option 1d:

- Flatten CDB standard instance and class attribute – maximum GIS tools compatibility
- “Off the Shelf” GeoPackage software compatibility for CDB standard attributes.
- Table for extended attributes using Related Tables GeoPackage extension

- This approach utilizes a standard normalized relational database design, utilizing foreign keys.
- Some duplication of data, resulting in larger files.
- There is one layer per GeoPackage.
- The Feature Class and Extended Attributes are populated for each feature.

The final method selected for conversion of a Shapefile CDB to a GeoPackage CDB is a hybrid of Options 1c and 1d. Because “Off the Shelf” GeoPackage software will not be aware of the class and extended level attributes, we needed to “flatten” the class level attributes with the instance level attributes. It was not possible to effectively flatten the extended level attributes at this time, and those will remain in a separate table with regular table relationships. The decision was made not to use the Related Tables GeoPackage extension.

Several observations were made during the experiments. The output created by the recommended method of conversion allows backward compatibility. However, the GeoPackage CDB results in larger size on disk, which could be problematic. The GeoPackage format (especially as outputted by GDAL) is very space inefficient when it comes to encoding tiles with small quantities of data. But it does reduce the total number of files on disk significantly and eliminates the occurrence of dBase files containing 0 KB.

For more details on the experiments and information above, please see the OGC CDB Vector Data in GeoPackage Interoperability Experiment Engineering Report.

# Chapter 6. Guidance on the use of GeoPackages

An GeoPackage is an open, standards-based, platform-independent, portable, self-describing, compact format for transferring geospatial information. It is a platform-independent SQLite database file. The GeoPackage specification was developed by OGC. This document describes the encoding of Vector Data in GeoPackage only.

Per Requirement 4 of the CDB Vector GeoPackage Extension, the position of all points is expressed using WGS-84 geographic coordinates (latitude, longitude, altitude), as explained in Volume 8: CDB Spatial Reference Systems Guidance.

As detailed in the following table of GeoPackage Geometry Codes (Table 30 of Appendix G of the OGC GeoPackage Specification), the following geometry types are supported in GeoPackage:

Code	Name
0	GEOMETRY
1	POINT
2	LINestring
3	POLYGON
4	MULTIPOINT
5	MULTILINESTRING
6	MULTIPOLYGON
7	GEOMETRYCOLLECTION

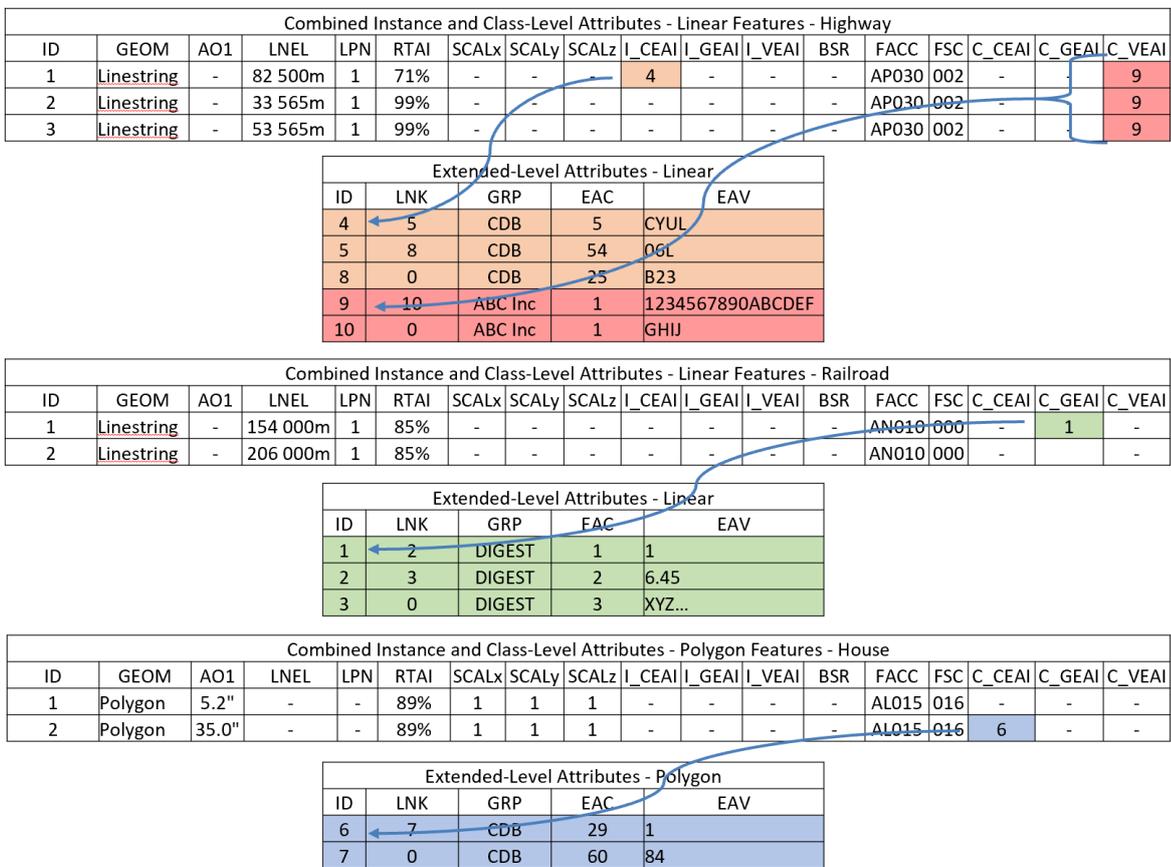
Per Requirement 2 of the CDB Vector GeoPackage Extension, while the GeoPackage model supports encoding of 8 different types that can be stored in the same GeoPackage, the CDB standard requires a maximum of 3 feature geometry types per tile.

# Chapter 7. Flattening the Schema

The CDB Shapefile standard provides three attribution schemas to represent attribution data. Those three have been flattened into two for use with GeoPackage.

- Instance-level attribution schema
- Extended-level attribution schema

As CNAM in the CDB Shapefile schema was only used as a relationship field, it has been removed from the flattened schema. Figure 1 shows the flattened instance and class-level attributes, and how the extended attributes link to a feature. I\_CEAI, I\_GEAI, and I\_VEAI are all instance-level attributes and are linked to a specific feature. C\_CEAI, C\_GEAI, and C\_VEAI are all class-level attributes and are linked to all features in a layer.



**Figure1. CDB GeoPackage Flattened Schema**

Example: Record ID 4 in the extended-level attributes table is related to record ID 1 in the linear features table and is an instance-level attribute. Record IDs 5 and 8 are also linked to record ID 4, and all apply to the same linear feature. Note that a 0 in the LNK column denotes the end of the applicable record list. Record 9 in the extended-level attributes table is a class-level attribute related to all features that are present in the highway layer.

All of the information that is needed to instance features is organized in accordance to the CDB tile structure. All the tiled GeoPackage dataset files are located in the same directory. The dataset's second component selector (CS2) is used to differentiate between files with the same extension or with the same Vector features. Table 1 presents the list of codes that are allocated. Note that Vector

datasets do not necessarily use all of the Dataset Component Selector 2 reserved codes. Users of the CDB standard should refer to the appropriate section for an enumeration of the supported File Component Selector 2 codes as well as the ones specific to the Dataset.

The Vector dataset concept and the feature code concepts overlap somewhat; some of the Vector datasets are generalizations or specializations of feature codes. Note that the same feature should not have two representations.

Table 1: Component Selector 2 for Vector Dataset File Names

<b>CS2</b>	<b>File Extension</b>	<b>Dataset Component Name</b>	<b>Supported Shape Type</b>
001	*.gpkg	Point features	Point, PointZ, PointM, MultiPoint, MultiPointZ, MultiPointM
003	*.gpkg	Lineal features	PolyLine, PolyLineZ, PolyLineM
005	*.gpkg	Polygon features	Polygon, PolygonZ, PolygonM, Multipatch
007	*.gpkg	Lineal figure point features	Point, PointZ, PointM, MultiPoint, MultiPointZ, MultiPointM
009	*.gpkg	Polygon figure point features	Point, PointZ, PointM, MultiPoint, MultiPointZ, MultiPointM
011	*.gpkg	2D relationship tile connections	N/A
015	*.gpkg	2D relationship dataset connections	N/A

Table 2: Component Selector 2 for Vector Dataset Table Names

<b>CS2</b>	<b>Dataset Component Name</b>	<b>Supported Shape Type</b>
001	Point features	Point, PointZ, PointM, MultiPoint, MultiPointZ, MultiPointM
002	Point feature class-level attributes	N/A
003	Lineal features	PolyLine, PolyLineZ, PolyLineM
004	Lineal feature class-level attributes	N/A
005	Polygon features	Polygon, PolygonZ, PolygonM, Multipatch

<b>CS2</b>	<b>Dataset Component Name</b>	<b>Supported Shape Type</b>
006	Polygon feature class-level attributes	N/A
007	Lineal figure point features	Point, PointZ, PointM, MultiPoint, MultiPointZ, MultiPointM
008	Lineal figure point feature class-level attributes	N/A
009	Polygon figure point features	Point, PointZ, PointM, MultiPoint, MultiPointZ, MultiPointM
010	Polygon figure point feature class-level attributes	N/A
011	2D relationship tile connections	N/A
012	Deprecated	N/A
013	Deprecated	N/A
014	Deprecated	N/A
015	2D relationship dataset connections	N/A
016	Point feature extended-level attributes	N/A
017	Lineal feature extended-level attributes	N/A
018	Polygon feature extended-level attributes	N/A
019	Lineal Figure Point extended-level attributes	N/A
020	Polygon Figure Point extended-level attributes	N/A

# Chapter 8. Layer Names within a GeoPackage

Each GeoPackage file shall contain one and only one feature table. The feature table shall include all attributes (fields) required by the CDB standard (Volume 1, 5.7.1.2. CDB Attribution). The single feature table shall be named identically to the GeoPackage filename (not including the ".gpkg" filename extension). A GeoPackage file may include an optional extended level attribute table. The extended level attribute table shall not contain geometry. The name of the extended level attribute table shall use the CS2 selector shown in Table 2. Table 3 shows the file naming convention.

Table 3: Tiled Dataset File Naming Convention 1

Field	Description
Lat	Geocell Latitude – Identical to the name of the directory defined in section 3.6.2.1, Directory Level 1 (Latitude Directory).
Lon	Geocell Longitude – Identical to the name of the directory defined in section 3.6.2.2, Directory Level 2 (Longitude Directory).
Dnnn	Character D followed by the 3-digit code assigned to the dataset.
Snnn	Character S followed by the 3-digit value of Component Selector 1 (CS1).
Tnnn	Character T followed by the 3-digit value of Component Selector 2 (CS2).
LOD	Level of Detail – As defined in section 3.3.8.5, Level of Detail.
Un	UREF – Identical to the name of the directory as defined in section 3.6.2.5, Directory Level 5 (UREF Directory).
Rn	RREF – A reference to the Right Index of a tile. Character R (Right direction) followed by the column number as described in this section.
xxx	File extension as per file type.

The example below and Table 4 show the filename structure for a road feature. GeoPackage  
 Filename: N13E045\_D201\_S002\_T003\_L00\_U0\_R0.gpkg  
 Feature Table Name: N13E045\_D201\_S002\_T003\_L00\_U0\_R0  
 Extended Attribute Table Name: N13E045\_D201\_S002\_T017\_L00\_U0\_R0

Table 4: Basic Filename Structure

N13	E045	D201	S002	T003	L00	U0	R0
Latitude	Longitude	Dataset Code	CS1	CS2	LOD	UREF	RREF

Figure 2 shows the flattened schema with filenames as they might appear for a road dataset. The feature and extended attribute tables are shown contained within the GeoPackage file.

**N13E045\_D201\_S002\_T003\_L00\_U0\_R0.gpkg**

N13E045_D201_S002_T003_L00_U0_R0																	
ID	GEOM	AO1	LNEL	LPN	RTAI	SCALx	SCALy	SCALz	C_EAI	I_GEI	I_VEI	BSR	FACC	FSC	C_CEI	C_GEI	C_VEI
1	Linestring	-	82 500m	1	71%	-	-	4	-	-	-	-	AP030	002	-	-	9
2	Linestring	-	33 565m	1	99%	-	-	-	-	-	-	-	AP030	002	-	-	9
4	Linestring	-	53 565m	1	99%	-	-	-	-	-	-	-	AP030	002	-	-	9

N13E045_D201_S002_T017_L00_U0_R0				
ID	LNK	GRP	EAC	EAV
4	5	CDB	5	CYUL
5	8	CDB	54	DGL
8	0	CDB	25	B23
9	10	ABC Inc	1	1234567890ABCDEF
10	0	ABC Inc	1	GHIJ

**Figure2. CDB GeoPackage Flattened Schema Road Dataset Example**

See [Annex A: Sample Conversion Tools](#) for information on the use of sample conversion tools found in the [Cognitics GitHub repo](#).

# Annex A: Sample Conversion Tools (Informative)

Sample conversion tools may be found in the Cognitics GitHub repo located at <https://github.com/Cognitics/cdb-shp-geopackage-convert>.

**Warning: Use the sample conversion code at your own risk. It is intended to provide an example on how to convert Shapefiles to GeoPackage in a CDB, and is not an OGC tested application. Make sure you test on a backup copy of your CDB first.**

The sample converter is written in Python, and uses GDAL (version 2.2.3 or greater) with the Python bindings. Binaries of GDAL can be found at <https://trac.osgeo.org/gdal/wiki/DownloadingGdalBinaries>.

To use the sample converter tool, use the following Syntax:

```
Usage: Convert.py <Input Root CDB Directory> <Output Directory for GeoPackage Files>
```

The converter starts by calling the function:

```
generateMetaFiles.generateMetaFiles(cDBRoot)
```

This function scans the CDB to find a list of Shapefiles. It stores a list of all Shapefiles in a file called `shapeindex.py`. Another file named `shapemeta.py` is generated with a list of shapefiles and the extents of each file.

The `shapemeta.py` file is not necessary for the conversion, but can be useful for analysis. That block of code can be removed for a faster conversion if it isn't needed.

Next, the index file is scanned, and each ShapeFile is processed through:

```
copyFeaturesFromShapeToGeoPackage(shpFilename,outputGeoPackageFile)
```

If the shapefile passed through this function is a feature file, and not a feature class or extended attribute file, the appropriate feature class and extended attributes DBF file (based on Selector2 in the filename) is ready by calling `readDBF`. All records in each file are read and stored in a dictionary.

Next, GDAL is used to create the appropriate GeoPackage file and layers. Then GDAL is used to open the ShapeFile and iterate through each feature. To flatten the feature class records, as each feature is read, any matching feature class records (based on the CNAM attribute) are added to the feature record.

After `copyFeaturesFromShapeToGeoPackage` has completed, the extended attribute DBF file that matches the feature file is read. The appropriate layer is created in the GeoPackage. The name of

that layer is identical to the filename of the extended attribute DBF file, without the .dbf extension. Each record in the extended attribute DBF file is read and added to the new layer in the GeoPackage. Each record from the DFB file is copied into the GeoPackage layer.

Note that no additional relationships or foreign keys are necessary. The feature attributes that are used to link the features to the Extended Attributes in the GeoPackage are the same as the attributes from the ShapeFile (CEAI,GEAI, and VEAI) and have been renamed to indicate either the Instance or Class level (see Figure 1 in the Flattening the Schema of the Normative document).

After each feature ShapeFile has been processed, the conversion is complete. Note that none of the associated ShapeFile or DBF files are removed from the CDB.

# Annex B: Revision History

Date	Release	Editor	Primary clauses modified	Description
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